



## ITEMS FOR YOUR FINANCIAL FUTURE

The following items are useful for preparing a future planning projection. Some items are listed under the optional section and are not required to complete the plan. However, our experience shows that the greater the detail, the more accurate your plan will be.

Please feel free to contact us at (317) 802-7672 with any questions regarding these items.

### # ITEM (Please check the items you have. We will eventually review all of the requirements.)

1.  The previous year's tax return.
2.  Wages for each person employed, including any bonus or annual increase you can expect in the coming years.
3.  Current statements for each employer's Retirement Plan, i.e. 401(k), 403(b), etc. Please include your current contribution, and any employer match amount if applicable.
4.  If anyone is receiving a pension, please provide the amount of pension and survivor benefits. If multiple options are available, please provide the possibilities and the dates they will become available.
5.  Please provide the gross Social Security amounts for each person. If you have not started receiving benefits, then please provide the benefit amount for ages 62, 66, and 70. (You can obtain this information at the Social Security website at <https://www.ssa.gov/retire/estimator.html>).
6.  Statements for any IRA or Roth IRA accounts.
7.  Statements for any Brokerage investment accounts.
8.  Statements for any Annuity contracts, as well as the actual contract during the next meeting.
9.  Money Market and saving balances.
10.  Any statements for 529 education plans.
11.  Statements for any HSA accounts, if applicable.
12.  Please provide your current home value, if you own your home and any other real estate assets.
  - Current mortgage balances and home lines of credit associated with real estate assets.
  - Associated interest rates for each line of credit or mortgage, monthly principal payments, and monthly interest payments.
13.  Life insurance.
  - If it is a term policy, provide Insured, death benefit, premium and frequency, and term length (usually 5, 10, 15, or 20 years).
  - If it is a universal, variable, or whole life policy; please provide the most recent statement that you have.
14.  If you own a Long-Term Care Policy, please have it available during the next meeting for review.
15.  Health Care.
  - Your insurance provider and what your monthly premium amounts are.
  - The anticipated monthly cost for each person if you have employer-sponsored health care during retirement.

# ✓ ITEM (Please check the items you have. We will eventually review all of the requirements.)

**THE FOLLOWING DATA IS OPTIONAL:**

1.  Monthly household expenditures.
2.  Auto insurance premiums.
3.  Home insurance premiums.
4.  Excess liability insurance coverage.
5.  Credit card balances. Include total available credit, and total debt per card, any Interest rate per card, and minimum monthly payments.
6.  Vehicle loans or other short-term loans.
  - Current balance.
  - Interest rate and monthly payment.
7.  Any anticipated future purchases, i.e., buying a vehicle within four years from now, and either anticipate paying cash or financing the total of \$25k.
8.  Specific retirement plans.
  - Your Dreams.
  - Your Goals.

## FAMILY INFORMATION

Name:	Nickname:	Age:	DOB:
Retired Yes No	Date Retired:	or Planned Date:	
Home Phone:	Cell Phone:	Alternate Phone:	
Email:		Alternate Email:	
Spouse's Information:			
Name:	Nickname:	Age:	DOB:
Retired Yes No	Date Retired:	or Planned Date:	
Home Phone:	Cell Phone:	Alternate Phone:	
Email:		Alternate Email:	

Children:	Age:	State of Residence:

## Y/N PERSONAL QUESTIONS

1.	Do you have a Financial Advisor? If yes, who?
2.	Do you have a living trust?
3.	Do you have a will?
4.	Do you have a Power of Attorney?
5.	Do you have a Power of Health Care?
6.	Do you receive income from Real Estate?
7.	Do you have an Attorney?
8.	Do you have an Accountant?
9.	Do you expect to care for a child or parent?
10.	Do you expect an inheritance?
11.	Have you ever had an issue with a financial advisor in the past?
12.	Do you have long-term care protection?

## FINANCIAL PLANNING OBJECTIVES: Rank the following according to your level of concern. (Please CIRCLE the most appropriate number with 1—not concerned and 10—very concerned)

		1	2	3	4	5	6	7	8	9	10
1.	Outliving my money	1	2	3	4	5	6	7	8	9	10
2.	Reducing current income taxes	1	2	3	4	5	6	7	8	9	10
3.	Increasing current income	1	2	3	4	5	6	7	8	9	10
4.	Estate planning	1	2	3	4	5	6	7	8	9	10
5.	Maximum growth	1	2	3	4	5	6	7	8	9	10
6.	Combined growth and income	1	2	3	4	5	6	7	8	9	10
7.	Safety of retirement money	1	2	3	4	5	6	7	8	9	10
8.	Safety of Non-IRA money	1	2	3	4	5	6	7	8	9	10
9.	Long Term Care	1	2	3	4	5	6	7	8	9	10

Please respond with **EACH** of your opinions.

1.	What do you think is a reasonable rate of return?	You	%	Spouse	%
2.	How much short-term, immediate cash do you want available?	You	\$	Spouse	\$
3.	What percentage of your money are you comfortable having at risk?	You	%	Spouse	%
4.	On a scale of 1 to 10, what is your risk tolerance? <i>(1 = Ultra Conservative to 10 = Very Aggressive)</i>	You		Spouse	

5. What are your main concerns about your money?

You:	
Spouse:	

6. Knowing what you know now, what would you have done differently with your money?

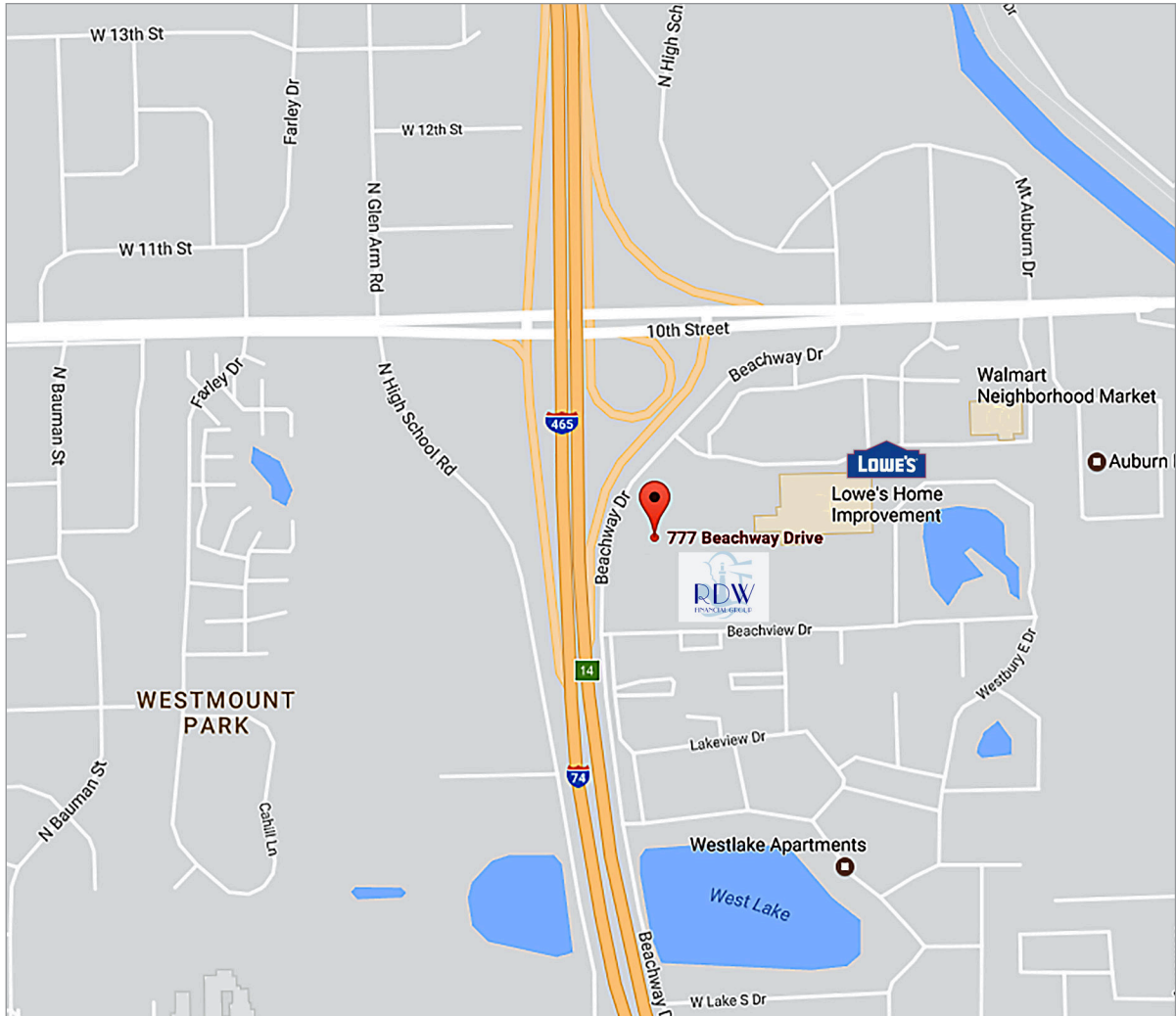
You:	
Spouse:	

7. How do you plan on handling your long term care expenses?

You:	
Spouse:	



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#### **From North**

Merge right onto Exit ramp 14 for 10th Street. Stay to the left side of the on the ramp and turn left onto 10th Street. You will go over the 465 bridge going East on 10th Street. Merge into the right-hand lane and turn right onto Beachway Drive. Follow Beachway Drive until you see a three-story building on your left.

#### **From South**

Merge right onto Exit ramp 14 for 10th Street. Stay to the right side of the ramp and turn right onto 10th Street. Immediately get into the right-hand turn lane and turn right onto Beachway Drive. Follow Beachway Drive until you see a three-story building on your left.

**Click here for GPS Directions:** <https://goo.gl/maps/WNaLLPCmqSG2>